



COPY OF PAPERS  
ORIGINALLY FILED

PATENT

Attorney Docket No.: JHU1660-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Nelson et al. Art Unit: 1646  
Serial No.: 09/687,246 Examiner: J. Souaya  
Filed: October 12, 2000  
Title: **METHODS OF DIAGNOSING AND TREATING HEPATIC CELL  
PROLIFERATIVE DISORDERS**

Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

RECEIVED

SEP 09 2002

TECH CENTER 1600/2900

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Sir:

This Communication is submitted to request correction of the filing receipt in reference to the above-identified application. The data requiring correction is indicated in yellow highlight on the attached copy of the filing receipt and herein in **BOLD**.

Please change in the **APPLICANT(S)** section as follows:

**WILLIAM G. NELSON, TOWSON, MD;**

**XIAOHUI LIN, BALTIMORE, MD;**

**JULIA C. TCHOU, BALTIMORE, MD;**

**JILIA BAKKER, BALTIMORE, MD**

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on August 12, 2002, in an envelope addressed to: Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231

*Karen LePari*

Karen LePari

COPY OF PAPER  
ORIGINALLY FILED

PATENT  
JHU1660-1



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Nelson et al.  
Serial No.: 09/687,246  
Filed: October 12, 2000  
Title:

Art Unit: 1646  
Examiner: J. Souaya

**METHODS OF DIAGNOSING AND TREATING HEPATIC CELL  
PROLIFERATIVE DISORDERS**

Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

**RECEIVED**

SEP 09 2002

TECH CENTER 1600/2900

**TRANSMITTAL SHEET**

Sir:

Transmitted herewith for the above-identified application please find:

1. Request for Correction of Filing Receipt (3 pages); and
2. Return postcard.

**CERTIFICATION UNDER 37 CFR §1.8**

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on August 13, 2002, in an envelope addressed to: Commissioner for Patents, Office of Initial Patent Examination, Customer Service Center, Washington, D.C. 20231

*Karen LePari*  
Karen LePari

In re Application of:

Nelson et al.

Application No.: 09/687,246

Filed: October 12, 2000

Page 2

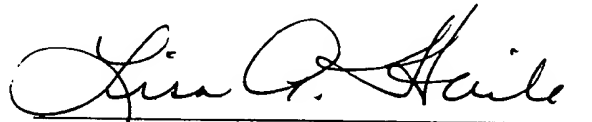
PATENT

Attorney Docket No.: JHU1660-1

No fee is believed due with the present response. However, if any fees are due, please charge any additional fees associated with the filing submitted herewith, or credit any overpayment, to Deposit Account No. 50-1355. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date: August 13, 2002



Lisa A. Haile, Ph.D.

Registration No. 38,347

Telephone: (858) 677-1456

Facsimile: (858) 677-1465

GRAY CARY WARE & FREIDENRICH LLP  
4365 Executive Drive, Suite 1100  
San Diego, California 92121-2133  
USPTO Customer Number 28213

COPY OF PAPERS  
ORIGINALLY FILED

In re Application of:  
Nelson et al.  
Application No.: 09/687,246  
Filed: October 12, 2000  
Page 2

PATENT  
Attorney Docket No.: JHU1660-1

Applicants accordingly request the correction be made of record and a corrected filing receipt be issued.

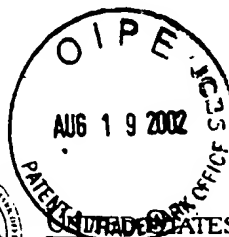
Respectfully submitted,

Date: August 13, 2002

---

Lisa A. Haile, J.D., Ph.D.  
Registration No. 38,347  
Telephone: (858) 677-1456  
Facsimile: (858) 677-1465

GRAY CARY WARE & FREIDENRICH LLP  
4365 Executive Drive, Suite 1100  
San Diego, California 92121-2133  
USPTO Customer Number 28213



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/687,246	10/12/2000	1646	1354	JHU1660-1	5	85	7

Lisa A Haile Ph D  
Gray Cary Ware & Freidenrich LLP  
4365 Executive Drive  
Suite 1600  
San Diego, CA 92121-2189

## FILING RECEIPT



\*OC000000005609640\*

RECEIVED

MAR 05 2001

GRAYCARY/GT PATENT

Date Mailed: 02/27/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

*Towson*  
William G. Nelson, *Towson*, MD ;  
Xiaohui Lin, Baltimore, MD ;  
Julia C. *Tschou*, Baltimore, MD ; *Tschou*  
Jila Bakker, Baltimore, MD ;

RECEIVED

SEP 09 2002

TECH CENTER 1600/2900

## Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/159,168 10/13/1999

## Foreign Applications

If Required, Foreign Filing License Granted 12/08/2000

## Title

Methods of diagnosing and treating hepatic cell proliferative disorders

## Preliminary Class

514

Data entry by : ZIMMERMAN, SHAUNA

Team : OIPE

Date: 02/27/2001

1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24 25 26 27 28 29 30 31 32 33 34 35 36 37 38 39 40 41 42 43 44 45 46 47 48 49 50 51 52 53 54 55 56 57 58 59 60 61 62 63 64 65 66 67 68 69 70 71 72 73 74 75 76 77 78 79 80 81 82 83 84 85 86 87 88 89 90 91 92 93 94 95 96 97 98 99 100



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



Bib Data Sheet

**FILE COPY** CONFIRMATION NO. 1350

<b>SERIAL NUMBER</b> 09/687,246	<b>FILING DATE</b> 10/12/2000 <b>RULE</b>	<b>CLASS</b> 435	<b>GROUP ART UNIT</b> 1634	<b>ATTORNEY DOCKET NO.</b> JHU1660-1
------------------------------------	---	---------------------	-------------------------------	---

**APPLICANTS**

William G. Nelson, Towson, MD;  
Xiaohui Lin, Baltimore, MD;  
Julia C. Tchou, Baltimore, MD;  
Jila Bakker, Baltimore, MD;

**\*\* CONTINUING DATA \*\*\*\*\***

THIS APPLN CLAIMS BENEFIT OF 60/159,168 10/13/1999

**\*\* FOREIGN APPLICATIONS \*\*\*\*\***

**IF REQUIRED, FOREIGN FILING LICENSE GRANTED\*\* SMALL ENTITY \*\***  
\*\* 12/08/2000

Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no	STATE OR COUNTRY MD	SHEETS DRAWING 5	TOTAL CLAIMS 85	INDEPENDENT CLAIMS 7
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature _____ Initials _____				

**ADDRESS**

Lisa A Haile Ph D  
Gray Cary Ware & Freidenrich LLP  
4365 Executive Drive  
Suite 1600  
San Diego ,CA 92121-2189

**TITLE**

Methods of diagnosing and treating hepatic cell proliferative disorders

**FILING FEE RECEIVED**  
1354

FEES: Authority has been given in Paper  
No. \_\_\_\_\_ to charge/credit DEPOSIT ACCOUNT  
No. \_\_\_\_\_ for following:

<input type="checkbox"/> All Fees
<input type="checkbox"/> 1.16 Fees ( Filing )
<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
<input type="checkbox"/> 1.18 Fees ( Issue )
<input type="checkbox"/> Other _____
<input type="checkbox"/> Credit